



ITW

Attorney Docket No.: PATENT
JAG-00113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:

James A. Gavney, Jr. et al.

Serial No.: 10/692,837

Filed: October 24, 2003

For: **ORAL-CARE DEVICE AND
SYSTEM**

) Group Art Unit: 1744

) Examiner: Chin, Randall E.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

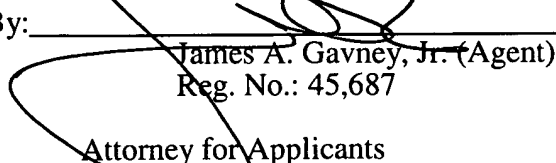
Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP


Dated: 10/16/2006

By: 
James A. Gavney, Jr. (Agent)
Reg. No.: 45,687
Attorney for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 10/16/2006 



Attorney Docket No.: PATENT
JAG-00113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 1744
James A. Gavney, Jr. et al.)	Examiner: Chin, Randall E.
Serial No.: 10/692,837)	
Filed: October 24, 2003)	<u>CERTIFICATION UNDER 37 C.F.R.</u>
For: ORAL-CARE DEVICE AND)	<u>§1.97(e) FOR SUPPLEMENTAL</u>
SYSTEM)	<u>INFORMATION DISCLOSURE</u>
)	<u>STATEMENT</u>
)	162 North Wolfe Road
)	Sunnyvale, CA 94086
)	(408) 530-9700
)	Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 29, 2006. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

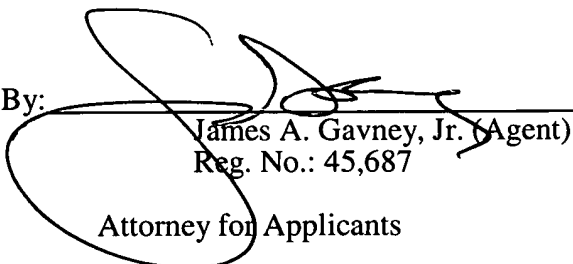
Identification of Person Making Certification

The person making this certification is the agent who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10/16/2006


By:


James A. Gavney, Jr. (Agent)
Reg. No.: 45,687
Attorney for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 10/16/06 



PATENT
Attorney Docket No.: JAG-00113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James A. Gavney, Jr. et al.

Serial No.: 10/692,837

Filed: October 24, 2003

For: **ORAL-CARE DEVICE AND
SYSTEM**

) Group Art Unit: 1744

) Examiner: Chin, Randall E.

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- Japanese Patent No. 9-140456.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10/14/2006

By: _____

James A. Gavney, Jr.

Reg. No.: 45,687

Attorney for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 10/14/2006

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: JAG-00113

Serial No.: 10/692,837

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicants: James A. Gavney, Jr. et al.

(37 CFR § 1.98(b))

Filing Date: October 24, 2003

Group Art Unit: 1744

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AT	9-140456	3/6/97	Japan	A 4 6 D	1/04		X
	AU							
	AV							
	AW							
	AX							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AY	
	AZ	
	BA	
	BB	
	BC	
	BD	
	BE	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.